



Case Study: Bank Concerned About Regulatory Compliance

(Document No. 0501338)

Solutions For Advanced IT Services

Altius Information Technologies, Inc.
Dedicated to the advancement of IT services
1506 Brookhollow Drive, Suite 122
Santa Ana, CA 92705
(714) 442-6670
www.AltiusIT.com

Assessments and Business Value

Altius IT's risk assessments:

- Help meet compliance initiatives
- Determine if your IT funds are effectively being used
- Identify and quantify your IT related strengths and weaknesses
- Help you focus on those areas that create the most value for your organization

While some organizations want tactical advice on the state of the IT department, others want to maximize their investment in IT by developing and implementing a formal strategy.

Before an organization can develop and execute strategy, Altius IT's risk assessments help your organization understand its IT infrastructure and related strengths and weaknesses.

Assessments add business value and help you maximize your investment in your IT infrastructure.

Assessments vs. Audits

Altius IT provides both network and security assessments as well as network and security audits.

- Assessments - we review your systems, people, and processes to help your organization manage its risks. For more information, please see our assessment Work Plan or download one of our Executive Summary documents.
- Audits - Altius IT reviews your systems and compares with standards and compliance regulations that may apply to your organization.

We recommend that your organization first start with our network and security assessments prior to using our audit services.

Get Started On Advanced Assessments

Call us today to take advantage of our advanced assessment services (714) 442-6670.

Case Study: Regulatory Compliance

Client Situation

A mid-size bank is growing and has added branch locations since its last audit by the Office of Thrift Supervision (OTS). The organization is concerned about compliance related issues and management wants an assessment of IT readiness.

Altius IT Solution

Altius IT provided a risk assessment of the bank's IT network infrastructure, security, and related policies and procedures. Our services included a review of:

- Business continuity
- Electronic banking (e-banking)
- Fedline
- Information security
- Information technology risks and controls
- IT management
- IT Operations
- Outsourcing
- Technology services providers

Our review employed the use of Federal Financial Institutions Examination Council (FFIEC) and Office of Thrift Supervision (OTS) type checklists and included Tier I objectives and procedures related to the institution's implementation of effective controls used to identify and manage risks. As a part of our scope of services, Altius IT reviewed written policies, business continuity plans, and related procedures and guidelines.

Client Benefit

Altius IT's risk assessment documented several areas that placed the organization at risk to both internal and external threats. The prioritized Action Plan helped the organization meet compliance guidelines.

We Help Businesses Succeed

As a leading information technology (IT) resource, our track record of helping businesses succeed is featured on *MSNBC* and in over 40 publications including *The Wall Street Journal*, *Business Week*, *the Los Angeles Times*, and *USA Today*.